



# Adcon Capital Services Limited

## Quarterly Compliance Report on Corporate Governance

Name of the Company : **ADCON CAPITAL SERVICES LIMITED**  
Quarter Ending on : **30<sup>th</sup> June, 2015**

Particulars	Clause of Listing Agreement	Compliance Status (Yes / No/ N.A.)	Remarks
<b>II. Board of Directors</b>	49II		
(A) Composition of Board	49 (IIA)	Yes	-
(B) Independent Directors	49 (IIB)	Yes	-
(C) Non-executive Directors' compensation & disclosures	49 (IIC)	Yes	-
(D) Other provisions as to Board and Committees	49 (IID)	Yes	-
(E) Code of Conduct	49 (IIE)	Yes	-
(F) Whistle Blower Policy	49 (IIF)	Yes	-
<b>III. Audit Committee</b>	49 III		
(A) Qualified and Independent Audit Committee	49 (IIIA)	Yes	-
(B) Meeting of Audit Committee	49 (IIIB)	Yes	-
(C) Powers of Audit Committee	49 (IIIC)	Yes	-
(D) Role of Audit Committee	49 (IIID)	Yes	-
(E) Review of Information by Audit Committee	49 (IIIE)	Yes	-
<b>IV. Nomination and Remuneration Committee</b>	49 (IV)	Yes	-
<b>V. Subsidiary Companies</b>	49 (V)	N.A.	N.A.
<b>VI. Risk Management</b>	49 (VI)	N.A.	N.A.*
<b>VII. Related Party Transactions</b>	49 (VII)	N.A.	N.A.
<b>VIII. Disclosures</b>	49 (VIII)		
(A) Related party transactions	49 (VIII A)	N.A.	N.A.
(B) Disclosure of Accounting Treatment	49 (VIII B)	Yes	-
(C) Remuneration of Directors	49 (VIII C)	Yes	-
(D) Management	49 (VIII D)	Yes	It is forming part of Annual Report for the year 2014-15
(E) Shareholders	49 (VIII E)	Yes	-
(F) Proceeds from Public Issues, Rights Issue, Preferential Issues etc.	49 (VIII F)	N.A.	N.A.
<b>IX. CEO / CFO Certification</b>	49 (IX)	Yes	-
<b>X. Report on Corporate Governance</b>	49 (X)	Yes	It is forming part of Annual Report for the year 2014-15
<b>XI. Compliance</b>	49 (XI)	Yes	It is forming part of Annual Report for the year 2014-15

\* The compliance with the clause is not mandatory for the time being as the paid – up equity share capital & net worth of the Company as on the last day of the previous financial year are less than Rs. 10 Crore and Rs. 25 Crore respectively.

For ADCON CAPITAL SERVICES LIMITED

*V.S. Ranade*

Vibhav S. Ranade  
Company Secretary & Compliance Officer



Date: 11<sup>th</sup> July, 2015



# **Adcon Capital Services Limited**

## **TO WHOMSOEVER IT MAY CONCERN**

I, Vibhav S. Ranade, Company Secretary & Compliance Officer of the Company hereby certify that, the paid up Equity share capital of the Company does not exceeds Rs. 10 crore and the Net Worth does not exceeds Rs. 25 crore as on the last day of the previous financial year i.e. March 31, 2015.

**Yours faithfully,  
For Adcon Capital Services Limited**

*V. S. Ranade*

**Vibhav S. Ranade  
Company Secretary & Compliance Officer**



Date: July 11, 2015